



# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/665,943

09/19/2003

Lin Lu Healy

42133-00009USPT

24238 JENKENS & GILCHRIST 1401 MCKINNEY **SUITE 2700** HOUSTON, TX 77010



**CONFIRMATION NO. 9758 FORMALITIES LETTER** 

OC000000012775776\*

Date Mailed: 05/26/2004

# NOTICE OF INCOMPLETE NONPROVISIONAL APPLICATION

# FILED UNDER 37 CFR 1.53(b)

A filing date has NOT been accorded to the above-identified application papers for the reason(s) indicated below.

All of the items noted below and a newly executed oath or declaration covering the items must be submitted within TWO MONTHS of the date of this Notice, unless otherwise indicated, or proceedings on the application will be terminated (37 CFR 1.53(e)). Replies should be mailed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450.

The filing date will be the date of receipt of all items required below, unless otherwise indicated. Any assertions that the item(s) required below were submitted, or are not necessary for a filing date, must be by way of petition directed to the attention of the Office of Petitions accompanied by the \$130.00 petition fee (37 CFR 1.17(h)). If the petition states that the application is entitled to a filing date, a request for a refund of the petition fee may be included in the petition. Petitions should be mailed to: Mail Stop Petitions, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450.

 The application was deposited without drawings. 35 U.S.C. 113 (first sentence) requires a drawing "where necessary for the understanding of the subject matter sought to be patented." Applicant should reconsider whether the drawings are necessary under 35 U.S.C. 113 (first sentence).

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

07/28/2004 MAHMED1 00000014 10665943

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130.00 OP

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE



Docket No.: 42133-00009USC1

(PATENT)

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Lin L. Healy et al.

Confirmation No.:9758

Application No.: 10/665943

Art Unit: 1713

Filed: September 19, 2003

Examiner: P. Mulcahy

For: GEL COMPOSITIONS

### RESPONSE TO NOTICE OF INCOMPLETE NONPROVISIONAL APPLICATION

MS Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In response to the Notice of Incomplete Nonprovisional Application mailed May 26, 2004, Applicant respectfully submits Drawings (6 figures, 3 pages) and Part 2 Copy of Notice. Applicants provide herewith a copy of the declaration from the parent application, Serial No. 09/419,571. Figures 1-5B provided herewith are identical to those of the parent case. Applicants have petitioned the Office regarding this matter. A copy of the petition is attached hereto.

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 10-0447, under Order No. 42133-00009USC1.

Application No.: 10/665943 Docket No.: 42133-00009USC1

Dated: July 26, 2004

Respectfully submitted,

By / ·

Robert L. Abdon, Ph.D. Registration No.: 50,996

JENKENS & GILCHRIST, A PROFESSIONAL

CORPORATION

5 Houston Center

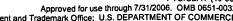
1401 McKinney, Suite 2600

Houston, Texas 77010

(713) 951-3338

(713) 951-3314 Facsimile

**Attorneys For Applicant** 





PTO/SB/17 (10-03)

Approved for use through 7/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

CCC TDANICMITTAI		Complete if Known				
FEE TRANSMITTAL for FY 2004		Application Number 10/665943				
		Filing Date			September 19, 2003	
		First Named Inventor Lin L. Healy			ntor Lin L. Healy	
Effective 10/01/2003. Patent fees are subject to annual revision.		Exam	iner N	ame	P. Mulcahy	
Applicant claims small entity status. See 37 CFR 1.27		Art Ur	it		1713	
TOTAL AMOUNT OF PAYMENT (\$) 130.00		Attorn	ey Do	cket No	o. 42133-00009USC1	
METHOD OF PAYMENT (check all that apply)	T			FEE	E CALCULATION (continued)	
Credit Money						
Card Order Other S. ADDITIONAL PEES						
Deposit Account:	Large	e Entity	Smal	I Entity		
Deposit Account 10-0447 Number	Fee Code	Fee (\$)	Fee Code	Fee	- Fee Description Fee Paid	
Deposit Jenkens & Gilchrist, a	1051	130	2051	65	Surcharge – late filing fee or oath	
Account Name Professional Corporation					Surcharge – late provisional filing fee or cover	
The Director is authorized to: (check all that apply)	1052	50	2052	25	sheet.	
Charge fee(s) indicated below X Credit any overpayments	1053	130	1053	130	Non-English specification	
X Charge any additional fee(s) or any underpayment of fee(s)	1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
Charge fee(s) indicated below, except for the filling fee	1804	920°	1804	920°	Requesting publication of SIR prior to Examiner action	
to the above-identified deposit account.	1805	1,840*	1805	1,840°	Requesting publication of SIR after Examiner action	
FEE CALCULATION	1251	110	2251	55	Extension for reply within first month	
1. BASIC FILING FEE	1252	420	2252	210	Extension for reply within second month	
Large Entity Small Entity	1253	950	2253	475	Extension for reply within third month	
Fee Fee Fee Fee Fee Pee Paid Code (\$) Code (\$)	1254	1,480	2254	740	Extension for reply within fourth month	
Code (\$) Code (\$) 1001 770 2001 385 Utility filing fee	1255	2.010	2255	1.005	Extension for reply within fifth month	
1002 340 2002 170 Design filing fee	1401	330	2401	165	Notice of Appeal	
1003 530 2003 265 Plant filing fee	1402	330	2402	165	Filing a brief in support of an appeal	
1004 770 2004 385 Reissue filing fee	1403	290	2403	145	Request for oral hearing	
1005 160 2005 80 Provisional filing fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding	
SUBTOTAL (1) (\$) 0.00	1452	110	2452	55	Petition to revive – unavoidable	
SUBTOTAL (1) (\$) 0.00	1453	1,330	2453	665	Petition to revive - unintentional	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1501	1,330	2501	665	Utility issue fee (or reissue)	
Extra Fee from Claims below Fee Paid	1502	480	2502	240	Design issue fee	
Total Claims -20** = x =	1503	640	2503	320	Plant issue fee	
Independent -3** = x	1460	130	1460	130	Petitions to the Commissioner 130.00	
Claims	1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
Large Entity Small Entity	1806	180	1806	180	Submission of Information Disclosure Stmt	
Fee Fee Fee Code (\$) Code (\$)	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1202 18 2202 9 Claims in excess of 20	1809	770	2809	385	Filing a submission after final rejection	
1201 86 2201 43 Independent claims in excess of 3					(37 CFR 1.129(a)) For each additional invention to be	
1203 290 2203 145 Multiple dependent claim, if not paid	1810	770	2810		examined (37CFR 1.129(b))	
1204 86 2204 43 ** Reissue independent claims over original patent	1801	770	2801	385	Request for Continued Examination (RCE)	
1205 18 2205 9 ** Reissue claims in excess of 20	1802	900	1802	900	Request for expedited examination of a design application	
and over original patent  Other fee (specify)						
SUBTOTAL (2) (\$) 0.00 *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 130.00 **or number previously paid, if greater; For Reissues, see above						
SUBMITTED BY (Complete (if applicable))						
Name (Print/Type) Robert L. Abdon, Ph.D.		ration No		,996	Telephone (713) 951-3338	
Signature Date July 26, 2004						



Docket No.: 42133-00009USC1

(PATENT)

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Lin L. Healy et al.

Application No.: 10/665943

Group Art Unit: 1713

Filed: September 19, 2003

Examiner: P. Mulcahy

For: GEL COMPOSITIONS

Confirmation No. 9758

# TRANSMITTAL LETTER

MS Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Enclosed are the following items for filing in connection with the above-referenced Patent Application:

- 1. Drawings (6 figures, 3 pages);
- 2. Part 2 Copy of Notice;
- 3. Response to Notice of Incomplete Nonprovisional Application;
- 4. Fee Transmittal PTO/SB/17;
- 5. Transmittal Letter;
- 6. Petition to Accord Filing Date;
- 7. Declaration; and
- 8. Check # 173399 in the amount of \$130.00.

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 10-0447, under Order No. 42133-00009USC1(ABDON).

HOUSTON 314438v1 42133-00009USC1

Application No.: 10/665943 Docket No.: 42133-00009USC1

Dated: July 26, 2004

Respectfully submitted,

By Robert L. Abdon, Ph.D.

Registration No.: 50,996

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**Attorneys For Applicant**